

Integrated Governance

UTKARSH SMALL FINANCE BANK LIMITED

General information about company

Scrip code	543942	
NSE Symbol	UTKARSHBNK	
MSEI Symbol	NA	
ISIN	INE735W01017	
Date of start of financial year	01-04-2025	
Date of end of financial year	31-03-2026	
Reporting Quarter Type	Quarterly	
Date of Quarter Ending	30-06-2025	
Type of company	Equity	
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	true	
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	true	
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	false	There was no new acquisition of shares or voting rights in any unlisted company, as required under the SEBI circular, during the quarter ended June 30, 2025.
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	true	
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	false	As there are no tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, there is no update required to be made under SEBI circular.
Risk management committee	true	
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities	
Is SCORE ID Available ?	true	
SCORE Registration ID	COMZ00200	
Reason For No SCORE ID		
Type of Submission	Original	
Remarks (website dissemination)		

Annexure I

Annexure I to be submitted by listed entity on quarterly basis																							
I. Composition of Board of Directors																							
Disclosure of notes on composition of board of directors explanatory						Textual Information(1)																	
Whether the listed entity has a Regular Chairperson						true																	
Whether Chairperson is related to MD or CEO						false	Disqualification of Directors under section 164 of the Companies Act, 2013																
Sr no.	Title(Mr/Ms)	Name of the Director	Category 1 of directors	Category 2 of directors	Category 3 of directors	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director(in months)	No of Directorship in listed entities including this listed entity(Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity(Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Parveen Kumar Gupta	Non-Executive - Independent Director	Chairperson		false				Active	No		01-09-2021	01-09-2021		46	2	2	5	2			
2	Mr	Ajay Kumar Kapur	Non-Executive - Independent Director	Not Applicable		false				Active	No		02-03-2021	02-03-2021		52	1	1	3	0			
3	Mrs	Kalpana Prakash Pandey	Non-Executive - Independent Director	Not Applicable		false				Active	No		29-04-2020	29-04-2025		62.02	1	1	2	0			
4	Mr	Muralidharan Rajamani	Non-Executive - Non Independent Director	Not Applicable		false				Active	No		02-03-2021	02-03-2021		52	2	2	4	1			
5	Mr	Nagesh Dinkar Pinge	Non-Executive - Independent Director	Not Applicable		false				Active	No		20-07-2020	20-07-2020		59.12	6	6	8	5			
6	Mrs	Gauri Rushabh Shah	Non-Executive - Independent Director	Not Applicable		false				Active	No		01-06-2025	01-06-2025		1	1	1	0	0			
7	Mr	Govind Singh	Executive Director	Not Applicable	CEO-MD	false				Active	NA		30-04-2016	21-09-2024			1	0	1	0			
8	Mr	Pramod Kumar Dubey	Executive Director	Not Applicable		false				Active	NA		20-09-2024	20-09-2024			1	0	0	0			

**Text Block**

Textual Information(1)	Mr. Parveen Kumar Gupta, Independent Director of the Bank was re-appointed as Part Time Non-Executive Chairman of the Bank w.e.f October 12, 2024 to August 31, 2026.
------------------------	---

Annexure I

II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	

Audit Committee Details

Whether the Audit Committee has a Regular Chairperson						true
Sr	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	Nagesh Dinkar Pinge	Non-Executive - Independent Director	Chairperson	20-07-2020		Textual Information(1)
2	Ajay Kumar Kapur	Non-Executive - Independent Director	Member	31-05-2021		
3	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	23-10-2020		
4	Muralidharan Rajamani	Non-Executive - Non Independent Director	Member	16-01-2025		

**Text Block**

Textual Information(1)	Mr. Nagesh Dinkar Pinge was appointed as a Chairperson of the Audit Committee effective from 23-10-2020
------------------------	---

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson						true
Sr	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	Kalpana Prakash Pandey	Non-Executive - Independent Director	Chairperson	16-01-2025		Textual Information(1)
2	Muralidharan Rajamani	Non-Executive - Non Independent Director	Member	23-03-2022		
3	Parveen Kumar Gupta	Non-Executive - Independent Director	Member	04-11-2021		

**Text Block**

Textual Information(1)	Mrs. kalpana Prakash Pandey was appointed as a Chairperson and Member of the Nomination & Remuneration Committee effective from 16-01-2025
------------------------	--



Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson						true
Sr	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	Muralidharan Rajamani	Non-Executive - Non Independent Director	Chairperson	31-05-2021		
2	Ajay Kumar Kapur	Non-Executive - Independent Director	Member	04-11-2021		
3	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	31-05-2021		
4	Govind Singh	Executive Director	Member	04-11-2021		

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson						true
Sr	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	Ajay Kumar Kapur	Non-Executive - Independent Director	Chairperson	31-05-2021		
2	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	07-05-2020		Textual Information(1)
3	Parveen Kumar Gupta	Non-Executive - Independent Director	Member	04-11-2021		
4	Pramod Kumar Dubey	Executive Director	Member	16-01-2025		

**Text Block**

Textual Information(1)	Ms. Kalpana Prakash Pandey was initially appointed as a Chairperson of the Risk Management Commiittee effective from 23-10-2020
------------------------	---

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson						true
Sr	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	Muralidharan Rajamani	Non-Executive - Non Independent Director	Chairperson	31-05-2021		Textual Information(1)
2	Ajay Kumar Kapur	Non-Executive - Independent Director	Member	04-11-2021		
3	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	07-05-2020		Textual Information(2)

**Text Block**

Textual Information(1)	Mr. Muralidharan Rajamani was appointed as a Chairperson of the Corporate Social Responsibility Committee effective from 23-07-2021
Textual Information(2)	Mrs. Kalpana Prakash Pandey was appointed as a Chairperson of the Committee effective from 20-07-2021.

Annexure I

III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present*(All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	14-02-2025			true	7	7	4
2	26-02-2025	11		true	7	7	4
3	08-03-2025	9		true	7	7	4
4	14-04-2025	36		true	7	7	4
5	03-05-2025	18		true	7	7	4
6	07-06-2025	34		true	8	8	5

Annexure I

IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reson for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	14-02-2025				true	4	4	3	0
2	Audit Committee	08-03-2025	21			true	4	4	3	0
3	Audit Committee	03-05-2025	55			true	4	4	3	0
4	Audit Committee	06-06-2025	33			true	4	4	3	0
5	Stakeholders Relationship Committee	14-02-2025				true	4	4	2	0
6	Stakeholders Relationship Committee	03-05-2025	77			true	4	4	2	0
7	Nomination and remuneration committee	04-03-2025				true	3	3	2	0
8	Nomination and remuneration committee	07-05-2025	63			true	3	3	2	0
9	Nomination and remuneration committee	06-06-2025	29			true	3	3	2	0
10	Corporate Social Responsibility Committee	28-02-2025				true	3	3	2	0
11	Corporate Social Responsibility Committee	31-05-2025	91			true	3	3	2	0
12	Risk Management Committee	10-02-2025				true	4	4	3	0
13	Risk Management Committee	20-05-2025	98			true	4	4	3	0

Annexure I

V. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	true
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	true
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	true
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c.Stakeholders relationship committee	true
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	true
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	true
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	true
9	Any comments/observations/advice of Board of Directors may be mentioned here:	



**Annexure I**

Sr	Subject	Compliance status
1	Name of signatory	Muthiah Ganapathy
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	false
Other details of cyber security incidence or breaches or loss of data event	
Number of cyber security incidence or breaches or loss of data event occurred during the quarter	

Signatory Details

Name of signatory	Muthiah Ganapathy
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	28-07-2025

Investor Grievance Details

No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	2
No. of investor complaints disposed off during the Quarter	2
No. of investor complaints those remaining unresolved at the end of the Quarter	0

**Disclosure of Imposition of Fine or Penalty** The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:

Any Other Information for Disclosure of Imposition of Fine or Penalty					
Sr.No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
1	Reserve Bank of India	Penalty	09-06-2025	ATM Cashout	Rs. 10,000