



Utkarsh Small Finance Bank

Date: April 30, 2020

**TO,
BSE LIMITED,
PHIROZE JEEJEEBHOY TOWERS,
DALAL STREET,
MUMBAI – 400001 (MH)**

Dear Sir/ Madam,

Subject: Compliance pursuant to Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015

In compliance with the Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby submit the following Statement of Investor Complaints for the quarter ended on September 30, 2019:

Statement of Investor Complaints as on September 30, 2019

**Number of Investor Complaints pending at the beginning of the quarter Nil
Number of investor complaints received during the quarter Nil
Number of investor complaints disposed-off during the quarter Nil
Number of investor complaints remaining unresolved at the end of the quarter Nil**

The exchange is hereby requested to take note of the above submissions.

For Utkarsh Small Finance Bank Limited

**Nutan Anand Rane
(Company Secretary)**

Registered & Corporate Office:

Utkarsh Small Finance Bank Ltd. S-24/1-2, First Floor, Mahavir Nagar, Orderly Bazar, Varanasi-221002, Uttar Pradesh
CIN: U65992UP2016PLC082804 | Tel.: +91-542-2500596 | Website: www.utkarsh.bank

April 28, 2020

Nutan Rane
Company Secretary
Utkarsh Small Finance Bank Limited
Seventh Floor, Om Prakash Arcade,
Central Avenue,
Chembur (East) Mumbai-400 071

Dear Madam,

Subject: Investor Complaints for the quarter ended September 2019.

We hereby confirm that there are NIL investor complaints received & pending as of 30.09.2019 against Utkarsh Small Finance Bank Limited for Debt instruments.

Pending at the beginning of the quarter i.e. July 1, 2019	NIL
Received during the quarter	NIL
Disposed of during the quarter	NIL
Remaining unresolved at the end of the quarter i.e. September 30, 2019	NIL

This is for your information.

Yours faithfully,

For NSDL Database Management Limited

Vijay
Gupta
Vijay Gupta
Sr. Vice President

Digitally signed
by Vijay Gupta
Date: 2020.04.29
09:40:25 +05'30'