



Utkarsh Small Finance Bank

To,  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai-400001 (MH)

April 29, 2020

Scrip Code: 949694  
ISIN: INE396P07035

Dear Madam/Sir,

**Sub: Intimation for appointment/ change of RTA to be filed Stock Exchange**

Pursuant to Regulation 7(4) of SEBI (LODR) Regulations, 2015, we wish to inform you that Karvy Computershare Private Limited is appointed as Registrar & Transfer Agent of Utkarsh Small Finance Bank Limited in February 2017. Tripartite Agreement between Central Depository Services (India) Limited and National Securities Depository Limited with issuer and Registrar has been executed in February 2017.

We also wish to inform that name of the RTA has been changed from Karvy Computershare Private Limited to Karvy Fintech Private Limited and KFIN Technologies Private Limited on dated July 15, 2019 and December 24, 2019.

You are requested to take the above submission on record and oblige.

Thanking You,  
For Utkarsh Small Finance Bank Limited



Nutan Anand Rane  
(Company Secretary )

निर्गम रजिस्ट्रार और  
शेयर अंतरण अभिकर्ता

प्रारूप ख  
FORM B

REGISTRARS TO AN ISSUE  
AND  
SHARE TRANSFER AGENTS

भारतीय प्रतिभूति और विनियम बोर्ड

# SECURITIES AND EXCHANGE BOARD OF INDIA

[निर्गम-रजिस्ट्रार और शेयर अंतरण अभिकर्ता] विनियम, 1993

(Registrars to an issue and Share transfer agents) Regulations, 1993

(विनियम 8)

(Regulation 8)

001497

## रजिस्ट्रीकरण का प्रमाणपत्र CERTIFICATE OF REGISTRATION

- I. बोर्ड, भारतीय प्रतिभूति और विनियम अधिनियम, 1992 के अधीन बनाये गए नियमों और विनियमों के साथ पठित उस अधिनियम की धारा 12 की उपधारा (1) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए प्रवर्ग-I में निर्गम-रजिस्ट्रार और शेयर अंतरण अभिकर्ता/प्रवर्ग-II में निर्गम-रजिस्ट्रार\*/शेयर\* अंतरण अभिकर्ता के रूप में
- I. In exercise of the powers conferred by sub-section (1) of section 12 of the Securities and Exchange Board of India Act, 1992 read with the rules and regulations made thereunder, the Board hereby grants a certificate of registration to

**KFIN TECHNOLOGIES PRIVATE LIMITED (formerly known as  
KARVY FINTECH PRIVATE LIMITED)  
FINANCIAL DISTRICT, NANAHRAMGUDA  
SERILINGAMPALLY, TELANGANA  
HYDERABAD-500032**

को नियमों की शर्तों के अधीन रहते हुए और विनियमों के अनुसार क्रियाकलाप करते के लिए, जैसे उसमें विनिर्दिष्ट है, इसके द्वारा रजिस्ट्रीकरण का प्रमाणपत्र देता है।

as registrars to an issue and share transfer agent in Category I\*/registrar to an issue\*/share transfer agent\* in Category II, subject to the conditions in the rules and in accordance with the regulations to carry out the activities as specified therein.

II. निर्गम-रजिस्ट्रार और शेयर अंतरण अभिकर्ता का रजिस्ट्रीकरण कोड है।

II. Registration Code for the registrar to an issue and share transfer agent is **INR000000221**

**This Certificate of registration shall be valid for permanent, unless suspended or cancelled by the Board**

III. जब तक नवीकृत न किया जाए रजिस्ट्रीकरण प्रमाणपत्र तक विधिमान्य है।

III. Unless renewed, the certificate of registration is valid from



आदेश से  
भारतीय प्रतिभूति और विनियम बोर्ड  
के लिए और उसकी ओर से

By order  
For and on behalf of

**Securities and Exchange Board of India**

*ANUPMA CHADHA*

**ANUPMA CHADHA**

प्राधिकृत हस्ताक्षरकर्ता Authorised Signatory

स्थान Place

**Mumbai**

तारीख Date

**December 24, 2019**

\*जो लागू न हो उसे काट दें। \*Delete whichever is not applicable